

# Geometry and Dynamics on Flat Surfaces

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Lecture 3

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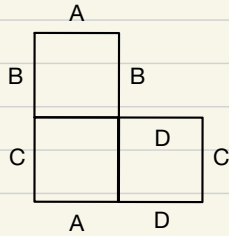
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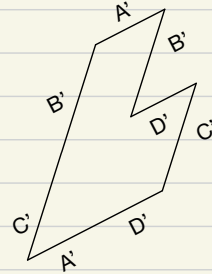
# I Recap

$SL(2, \mathbb{R})$  action on moduli spaces of flat surfaces.



$$\begin{bmatrix} a & b \\ c & d \end{bmatrix}$$

→



Subactions

$g_t$  : diagonal action also known as Teich. geodesic flow

$h_s$  : horocycle flow

$r_\theta$  : rotations.

# I Recap

$$SL(X, q) = \left\{ A \in SL(2, \mathbb{R}) \text{ such that } A(X, q) = (X, q) \right\}$$

↓  
as unmarked flat surfaces .

Theorem (Smillie) :

$$SL(2, \mathbb{R}) \text{ orbit of } q \text{ closed} \iff SL(X, q) \text{ is a lattice.}$$

## II Veech dichotomy

A flat surface  $(X, q)$  has optimal (straight line) dynamics if for any  $\theta \in [0, 2\pi)$ , the foliation  $F_\theta$  on  $(X, q)$  in the direction  $\theta$  is either

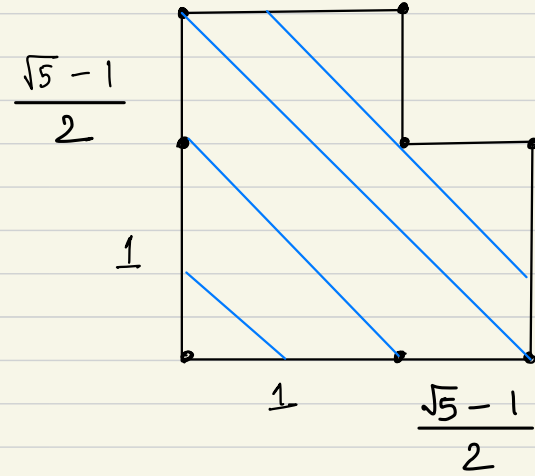
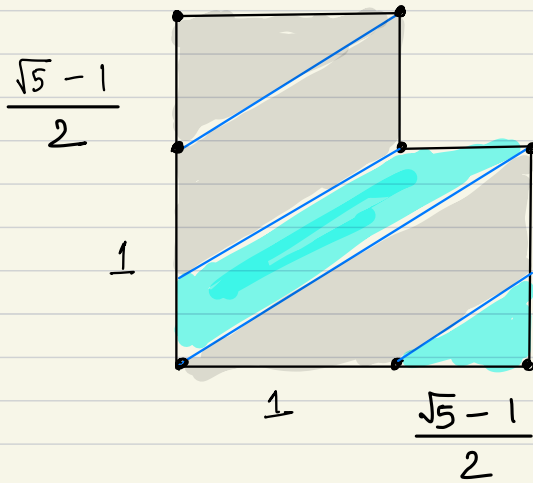
1) uniquely ergodic

2) completely periodic, namely  $(X, q) = \bigcup_{\text{finite union}} C_i$

where  $C_i$  are metric cylinders with slope  $\theta$ .

## II Veech dichotomy

For example



Theorem:  $SL(X, q)$  lattice  $\implies (X, q)$  has optimal dynamics.

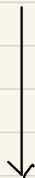
Caution: Converse is not true!

II

Lattice  $\Rightarrow$  optimal dynamics

Recall the setup

$$SL(2, \mathbb{R}) \backslash \mathbb{H}^2 \hookrightarrow \mathcal{Q} \text{Teich}(\underline{K})$$



$$SO(2, \mathbb{R}) \backslash SL(2, \mathbb{R}) \backslash \mathbb{H}^2 = \mathbb{D}_g \hookrightarrow \text{Teich}(S_{g,n})$$

isometrically embedded hyperbolic disc

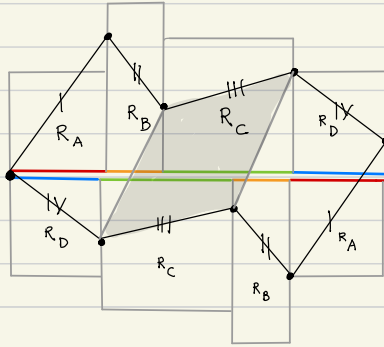
called Teich. disc of  $g$

$$\mathbb{D}_g / SL(x, g) \hookrightarrow \text{Teich}(S_{g,n}) / \text{Mod}$$

$M_{g,n} \xrightarrow{\text{"}} \text{moduli of Riem. surfaces.}$

## II Lattice $\Rightarrow$ optimal dynamics

Step 1:  $(X, q)$  contains a cylinder .



Step 2:  $\mathbb{D}_q / SL(X, q)$  is not compact

Suppose the said cylinder is in direction  $\theta$  with respect to vertical .

Then the core curve gets arbitrarily short (cylinder modulus gets arbitrarily large) in  $g_t r_{-\theta}(X, q)$  as  $t \rightarrow \infty$ .

II

Lattice  $\Rightarrow$  optimal dynamics

Step 3: Conclude  $SL(x, q)$  is a non-uniform lattice, that is

$\mathbb{D}_q / SL(x, q)$  a finite area hyperbolic surface with cusps.

Step 4: Consider any direction  $\phi$  on  $(x, q)$ .

Consider Teich. ray  $\gamma_t = g_t r_{-\phi}(x, q)$  on  $\mathbb{D}_q / SL(x, q)$ .

Rays on  $\mathbb{D}_q / SL(x, q)$  either recur to a compact part or head straight out a cusp.

If  $\gamma_t$  recurs then foliation  $F_\phi$  is uniquely ergodic by a theorem of Masur.



II

Lattice  $\Rightarrow$  optimal dynamics

Step 5: Suppose  $\gamma_t$  heads out a cusp.

Then  $SL(X, g)$  contains a corresponding parabolic element.

Suppose the parabolic is  $\begin{bmatrix} 1 & 0 \\ a & 1 \end{bmatrix}$

Step 6: Deduce every vertical leaf that does not run into singularities is closed.

Substeps

1: Argue some power of the parabolic fixes each vertical separatrix pointwise

2: Conclude every vertical sep. is a saddle connection

3: Further conclude cylinder decomposition

QED

### III Dynamics of the Teichmüller flow

Inhomogeneity: A flat surface  $(X, q)$  is  $\epsilon$ -thin if there exists a saddle connection  $\alpha$  s.t.  $|\text{per}_q(\alpha)| < \epsilon$ .

Thick part influences rank 1 behaviour; thin parts higher rank.

Dynamics of  $g_t$  analogous to rank 1.

### III Dynamics of the Teichmüller flow

For any  $SL(2, \mathbb{R})$ -invariant orbit closure

- $g_t$  is ergodic with respect to the  $SL(2, \mathbb{R})$ -invariant measure
- $g_t$  is exponentially mixing satisfying the same decay of correlations as proved by Moore - Ratner.

Avila - Gouëzel - Yoccoz

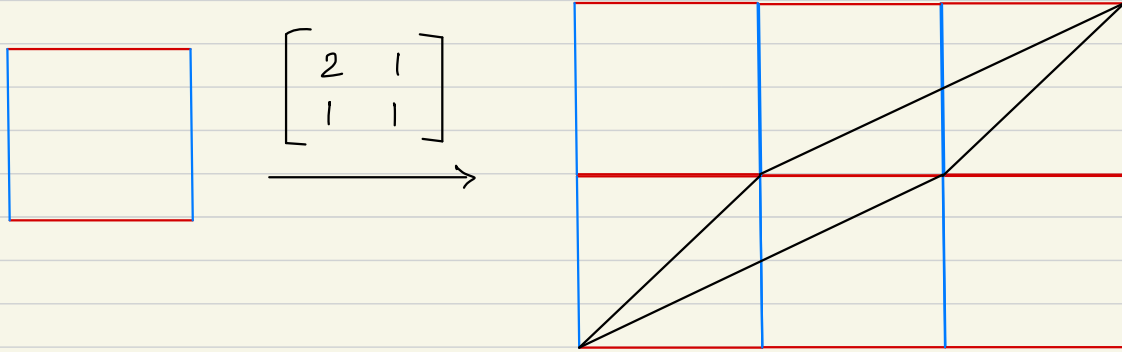
Avila - Gouëzel.

spectral gap for  $g_t$  action on appropriate function space.

IV

## Kontsevich-Zorich co-cycle

The co-cycle that records the action on the absolute homology of the surface.



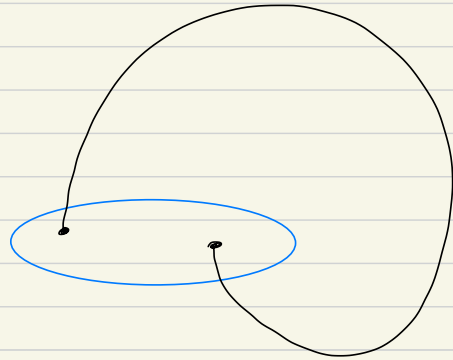
Red and Blue curve a  $\mathbb{Z}$ -basis for homology.

Action of  $g_t$  in the eigendirections give a change of basis which in this case is the same matrix.

IV

## Kontsevich-Zorich co-cycle

Cocycle along the flow



trivialise absolute homology over contractible period chart

Record action on the absolute homology when  $g_t$  returns us to the period chart.

Cocycle preserves algebraic intersection on  $H_1$ , and hence takes values in  $Sp(2g, \mathbb{Z})$ .

## IV Kontsevich-Zorich co-cycle

Overall picture: Suppose  $\mathcal{E}$  is a stratum component.

$$\begin{array}{ccccc} \pi_1(\mathcal{E}) & \xrightarrow{\text{modular}} & \text{Mod} & \xrightarrow{\text{standard}} & \text{Aut}(H_1(S; \mathbb{Z})) \\ & \searrow \text{monodromy} & & \searrow \text{symplectic} & \parallel \\ & & & & \text{Sp}(2g, \mathbb{Z}) \end{array}$$

Co-cycle over the flow is the part of the symplectic monodromy that the flow detects.

IV

## Kontsevich-Zorich co-cycle

A map  $B: \mathcal{Q} \times \mathbb{R}_{\geq 0} \longrightarrow \mathrm{Sp}(2g, \mathbb{Z})$  is a cocycle if

1)  $B(q, 0) = \mathrm{Id}$ ; and

2)  $B(q, t+s) = B(g_t q, s) B(q, t)$ .

Oseledets:

If the maps  $q \longrightarrow \log \|B(q, t)\|$  and  $q \longrightarrow \log \|B^{-1}(q, t)\|$

are  $L^1$  with respect to an ergodic  $g^t$ -invariant measure  $\mu$

then for  $\mu$ -almost every  $q$  and every  $v \in \mathbb{R}^{2g}$

$$\lim_{t \rightarrow \infty} \frac{1}{t} \log \frac{\|B(q, t)v\|}{\|v\|} \text{ exists and assumes}$$

up to  $2g$  different values

$$\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_{2g}.$$

Lyapunov  
Spectrum.

## IV Kontsevich-Zorich co-cycle

Zorich: Oseledets theorem applies to the Kontsevich-Zorich co-cycle.

Kontsevich-Zorich conjecture

The Lyapunov spectrum of the Kontsevich-Zorich co-cycle over the full stratum component is simple, that is the exponents  $\lambda_i$  are distinct.



## V Why the interest

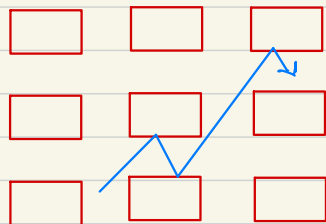
### 1 Interval exchange maps:

Zorich relates counting visits to subintervals under iteration to the co-cycle getting deviations of ergodic averages in terms of the exponents.

### 2 Wind-tree models

## V Why the interest

Ehrenfest wind-tree model: infinite billiards in the plane.



$\mathbb{Z} \oplus \mathbb{Z}$  periodic identical  
rectangular obstacles with  
sides aligned along the lattice.

Fraczek - Ulcigrai : Non-ergodicity; almost every direction does not equidist.

Avila - Hubert : Recurrence properties; a.e. direction

Delecroix - Hubert - Lelièvre : Abnormal diffusion rate  $\sim T^{2/3}$   
independent of obstacle shape.

second Lyapunov exponent for assoc. flat surface.

## V Why the interest

Counting periodic trajectories of length  $\leq L$  /  $\mathbb{Z}^2$ -translations

Pardo: For almost-every wind-tree

$$N(L) = \frac{L}{2\pi^2} \pi L^2 + o(L^2) .$$



bad trajectories

counting of bad trajectories related to Lyapunov exponents  
of an associated co-cycle .

VI

## Lyapunov simplicity

Approach hinges on two ingredients

- 1)  $g_t$  dynamics has a coding with approximate product structure.
- 2) Large co-cycle in terms of image in  $Sp(2g, \mathbb{Z})$ .

## VI Lyapunov simplicity

Forni 2002: simplicity in genus 2

Avila-Viana 2007: simplicity for all abelian strata.

Avila-Matheus-Yoccoz 2017: Zariski density of cocycle for hyper-elliptic strata.

Gutierrez-Romo 2018: Zariski density of cocycle for all abelian strata + many quadratic strata.

Bell-Delecroix-G-Gutierrez-Romo-Schleimer: Zariski density in  $t/\pi$  pieces for all quadratic  $\implies$  Lyapunov simplicity.