

Boundary Weak Harnack Estimates and Regularity for Elliptic Operators in Divergence Form and Applications in PDEs

5th Mostly Maximum Principle
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Introduction

We consider general uniformly elliptic equations in **divergence form**, under the **weakest assumptions** on the leading coefficients and on the boundary of the domain.

We obtain a **global extension** of the classical **Weak Harnack Inequality**, which extends and quantifies the **Hopf Boundary Point Lemma**.

Our main tool are the **global C^1 -estimates** and suitable **barrier functions**, which are solutions of auxiliaries problems.

We provide an application showing how to use these results to deduce **a priori bounds and multiplicity of solutions** for a class of quasilinear elliptic problems.

Motivation

- We consider **nonnegative weak supersolutions** of the problem

$$\mathcal{L}u = f(x), \quad x \in \Omega, \quad (\mathcal{P})$$

$$\mathcal{L}u := -\operatorname{div}(A(x)Du + \beta u) + b(x) \cdot Du + c(x)u, \quad x \in \Omega, \quad (\mathcal{L})$$

where $\Omega \subset \mathbb{R}^n$, for $n \geq 2$, is a bounded domain, under certain regularity assumptions.

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where $\Omega \subset \mathbb{R}^n$, for $n \geq 2$, is a bounded domain, under certain regularity assumptions.

- The De Giorgi-Moser **“Weak Harnack Inequality” (WHI)** is an interior result stated for any nonnegative supersolution of (\mathcal{P}) as

$$\left(\int_{B_R} u^\varepsilon \right)^{\frac{1}{\varepsilon}} \leq C_0 \left(\inf_{B_R} u + \|f\|_{L^p(B_{2R})} \right) \quad \text{for } \varepsilon < \frac{n}{(n-2)^+}, \quad (\text{WHI})$$

where $B_{2R} = B_{2R}(x_0) \subset \Omega$ and $C_0 = C_0(n, \vartheta, p, q, R, \varepsilon, \beta, b, c)$.

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- ▶ In [1] Sirakov proved a **global extension** to the (WHI) in terms of the **distance up to the boundary** $d = d(x, \partial\Omega)$, for **non-divergence** form operators.



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- ▶ It was called **Boundary Weak Harnack Inequality - (bWHI)**.
- ▶ Inspired by [1], we obtained a version of the (bWHI) for **divergence-type** equations considering **optimal regularity assumptions**.

Regularity Assumptions

► We say that $\sigma : [0, 1] \rightarrow \mathbb{R}_+$ is a **Dini function** and write $\sigma \in \mathcal{D}$ if

(i) $\sigma(0) = 0 < \sigma(t)/2 \leq \sigma(s) \leq \sigma(t)$ for $0 < t/2 \leq s \leq t$;

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► We say $\psi : \Omega \rightarrow \mathbb{R}$ is **Dini continuous** function in Ω and write $\psi \in \mathcal{C}^{0, \mathcal{Dini}}(\Omega)$ if there exists some $\sigma \in \mathcal{D}$ such that

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- Setting $B_R^+ = B_R \cap \Omega$, we say a function ψ has **Dini mean oscillation on Ω** and write $\psi \in \mathcal{C}^{0, m\text{Dini}}(\Omega)$ if there exists $\sigma_m \in \mathcal{D}$ such that

$$\int_{B_R^+(x)} |\psi(y) - \int_{B_R^+(x)} \psi(z) dz| dy \leq \sigma_m(R) \quad \text{for every } R > 0, x \in \overline{\Omega}.$$

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- Operator \mathcal{L} is uniformly elliptic and $A(x) = (a_{i,j}(x))$ is a symmetric matrix, satisfying $a_{i,j} \in \mathcal{C}^{0,m\mathcal{D}ini}(\Omega)$, i. e. having a **Dini mean oscillation** in $\Omega_{d_0} = \{x \in \Omega : d(x, \partial\Omega) < d_0\}$, for all $i, j = 1, \dots, n$ and

$$\vartheta I_n \leq A(x) \leq \vartheta^{-1} I_n \quad \text{in } \Omega, \quad (0.1)$$

for some $\vartheta > 0, d_0 > 0$, where $I_n : \mathbb{R}^n \rightarrow \mathbb{R}^n$ is the identity operator.

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- ▶ For some $q > n$ and some $p > n/2$, we also require that

$$\beta, |b| \in \mathcal{L}^q(\Omega), \quad c \geq 0 \text{ in } \Omega, \text{ and } c, f \in \mathcal{L}^p(\Omega). \quad (0.2)$$

Main Result

Under our setting, we obtain the following

Boundary Weak Harnack Inequality - (bWHI)

$$\left(\int_{B_R^+} \left(\frac{u}{d} \right)^\varepsilon \right)^{\frac{1}{\varepsilon}} \leq C \left(\inf_{B^+} \frac{u}{d} + \|f\|_{\mathcal{L}^p(B_{2R}^+)} \right) \text{ for some } \varepsilon > 0, \text{ (bWHI)}$$

for **nonnegative weak supersolutions** u of problem (\mathcal{P}) and for every $x_0 \in \partial\Omega$, $R \leq d_0/2$ and $B_R^+ = B_R(x_0) \cap \Omega$.

A Previous Result

- ▶ In [2], Sirakov developed global estimates for the following uniformly elliptic PDEs in divergence-form,

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- ▶ His main result was the Boundary Weak Harnack Inequality,

$$\inf_{\Omega} \frac{u}{d} \geq C \left(\int_{\Omega} \left(\frac{u}{d} \right)^{\varepsilon} \right)^{\frac{1}{\varepsilon}} - C \|f\|_{\mathcal{L}^q(\Omega)},$$

and the guarantee of the **best constant of integrability**: $\varepsilon < 1$.

Optimal Integrability

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- ▶ The regularity of the coefficients $A(x) \in \mathcal{W}^{1,q}(\Omega)$ **was essential** for applying the Divergence Theorem and make his arguments work.
- ▶ Unluckily, this method **cannot be adapted** under our assumptions.
- ▶ Therefore, it is **still an OPEN QUESTION** the optimal exponent $\varepsilon > 0$ for the global integrability of u **under our sharp hypotheses**.

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- ▶ For the **homogeneous equation**, the Boundary Weak Harnack Inequality gives

$$\frac{u}{d} \geq \inf_{B_R^+} \frac{u}{d} \geq C \left(\int_{B_R^+} \left(\frac{u}{d} \right)^\varepsilon \right)^{\frac{1}{\varepsilon}}, \text{ in } B_R^+ = B_R^+(x_0),$$

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which, passing to the limit with $x \rightarrow x_0$, implies the (BPP).

- ▶ If $\mathcal{L}u \geq 0$ in B_{2R}^+ and $u \geq c_0 d$ in some $\omega \subset B_R^+$ with $|\omega| > 0$, the (bWHI) implies that $u \geq \kappa c_0 d$, **in the whole B_R^+** ,

for some $\kappa > 0$ depending only on $|\omega|$ and the data, **quantifying the positivity of u close to $\partial\Omega$** .

Quantifying the Boundary Point Principle - (BPP)

- ▶ For the **nonhomogeneous case**, the quantification given by the (bWHI) preserves the integrability of u/d and **rectifies the (BPP) with the \mathcal{L}^p -norm of f .**

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- ▶ A lot of effort has been dedicated to getting **optimal conditions** for the validity of the (BPP).
- ▶ The conditions vary in terms of the **regularity/geometry of the domain** and the **regularity/nature of the coefficients**.
- ▶ However, only recently the **importance of such a quantification** of the (BPP) has been recognized.
- ▶ There are **no previous results quantifying the (BPP)** in such a way for divergence form equations, **not related to non-divergence ones**.

The General Statement of our (bWHI)

[3, Theorem 1.1] (Rendón-Sirakov-S.)

Assume \mathcal{L}_i are uniformly elliptic operators under our assumptions, Ω is a $\mathcal{C}^{1,Dini}$ domain and $f_i \in \mathcal{L}^p(\Omega)$ for $p > \frac{n}{2}$, and $i = 1, 2$. Then,

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- (1) if $\mathcal{L}_1 u \geq f_1$ and $u \geq 0$ in Ω , there exist $\varepsilon, C > 0$, depending on the data, such that

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- (2) if $\mathcal{L}_1 u \geq f_1$, $\mathcal{L}_2 u \leq f_2$, $u \geq 0$ in Ω and $u \equiv 0$ on $\partial\Omega$, there exist $C > 0$, depending on the data, such that

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 - ▶ We use the classical idea of [4] to **compare u with a solution of a “frozen coefficients” equation** in a sufficiently small annulus, which touches the boundary.
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 - ✎ [4] Finn, R. and Gilbarg, D. Asymptotic behavior and uniqueness of plane subsonic flows, *Comm. Pure Appl. Math.* 10 23–63, 1957.
- ▶ We combine this comparison with the direct use of the **Maximum Principle** (✓) and the **global C^1 -estimates**.

\mathcal{C}^1 -estimates up to the Boundary

[3, Theorem 3.1] (Dong–Escoriaza–Kim, [5])

Let Ω be a domain satisfying $\text{diam}(\Omega) \leq 1$. If $u \in \mathcal{H}_0^1(\Omega)$ solves $\mathcal{L}u = f$ in Ω , under our assumptions, then $u \in \mathcal{C}^1(\overline{\Omega})$.

-  [5] Dong, H., L. Escoriaza, L. and Kim, S. On \mathcal{C}^1 , \mathcal{C}^2 and weak type–(1,1) estimates for linear elliptic operators: part II, Math. Ann. 370 447–489, 2018.


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Let Ω be a domain satisfying $\text{diam}(\Omega) \leq 1$. If $u \in \mathcal{H}_0^1(\Omega)$ solves $\mathcal{L}u = f$ in Ω , under our assumptions, then $u \in C^1(\overline{\Omega})$.

In addition,
$$\|u\|_{C^1(\overline{\Omega})} \leq C(\|u\|_{L^2(\Omega)} + \|f\|_{L^p(\Omega)}),$$

where the constant $C > 0$ depends on the data.

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Furthermore, there exists a modulus of continuity ω , depending on the Dini mean oscillation, such that $|Du(x) - Du(y)| \leq \omega(|x - y|)$.

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- ▶ The mere continuity on the leading coefficients **is NOT SUFFICIENT** to guarantee a C^1 -estimate up to the boundary.

More Contributions of the (bWHI)

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- ▶ Then, our (BPP) is also **new for non-Dini continuous** leading coefficients.
- ▶ Another consequence of the (bWHI) is the Boundary Regularity obtained under the assumptions of [3, Theorem 1.1 (2)].

Boundary Regularity Theory

[3, Theorem 1.2] (Rendón-Sirakov-S.)

Consider the elliptic operators \mathcal{L}_1 and \mathcal{L}_2 , whose solutions of the Dirichlet problem in Ω have **uniformly continuous** gradient in $\overline{\Omega}$.

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If $u \in \mathcal{H}^1(\Omega)$ is such that $\mathcal{L}_1 u \geq f_1$, $\mathcal{L}_2 u \leq f_2$, for $f_1, f_2 \in \mathcal{L}^q(\Omega)$, then u **may not even be differentiable** in Ω , but it has a **uniformly continuous gradient on $\partial\Omega$** .

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More precisely, under our setting with $\sigma = |\cdot|^\alpha$, there exist the “gradient” of u on $\partial\Omega$, $G \in \mathcal{C}^{0,\alpha}(\partial\Omega, \mathbb{R}^n)$, and $C > 0$ such that

$$\|G\|_{\mathcal{C}^{0,\alpha}(\partial\Omega)} \leq C \left(\|u\|_{\mathcal{L}^\infty(\Omega)} + \|u\|_{\mathcal{C}^{1,\alpha}(\partial\Omega)} + \sum \|f_i\|_{\mathcal{L}^q(\Omega)} \right) =: CW.$$

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Furthermore, for each fixed $\hat{x}_0 \in \partial\Omega$, for every $x \in B_{1/2}^+(\hat{x}_0)$ and every $x_0 \in B_{1/2}(\hat{x}_0) \cap \partial\Omega$ we have

$$|u(x) - u(x_0) - G(x_0) \cdot (x - x_0)| \leq CW|x - x_0|^{1+\alpha}.$$


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- ▶ This is a fundamental result in the **non-divergence theory**, which has been extended and applied over the years by many authors.
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Applications

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 [8] F. Rendón and S., M. Multiplicity results for a class of quasilinear elliptic problems with quadratic growth on the gradient, preprint, arXiv:2207.10831.

More About the Application in [8]

- We consider the following class of boundary value problems

$$\begin{cases} -\operatorname{div}(A(x)Du) &= c_\lambda(x)u + (M(x)Du, Du) + h(x) \\ u &\in \mathcal{H}_0^1(\Omega) \cap \mathcal{L}^\infty(\Omega) \end{cases} \quad (\mathcal{P}_\lambda)$$

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for some constants $\mu_1 > 0$ and $\mu_2 > 0$.

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- ▶ We consider the **noncoercive case, $c \not\leq 0$** , assuming that

$$\left\{ \begin{array}{l} \Omega_{c^+} := \text{supp}(c^+), \quad |\Omega_{c^+}| > 0 \text{ and there exists} \\ \varepsilon > 0 \text{ such that } c^- = 0 \quad \text{in } \{x \in \Omega : d(x, \Omega_{c^+}) < \varepsilon\}, \end{array} \right. \quad (\mathcal{A}_c^+)$$

and hence, the **uniqueness of solution is expected to fail**.


Our Strategy

- ▶ To prove our results, we have used our Boundary Weak Harnack Inequality to generalize the results in [12], under our setting.
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- ▶ Similar analyses, based on the use of Harnack type inequalities, **had not been previously performed for the case $\bar{x} \in \partial\Omega$** .

Optimal Assumptions and Possible Generalizations

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- ▶ [3, Theorem 1.2] should be generalized for divergence form operators with **quadratic growth on the gradient**.

Mostly Maximum Principle

5th edition: in Latin America
for the first time



Thank You For Your Attention!

Characterizing the Set of Solutions

- ▶ Depending on the parameter $\lambda \in \mathbb{R}$, we study the **existence and multiplicity** of solutions to (\mathcal{P}_λ) and obtain a description of the set

$$\Sigma := \{(\lambda, u) \in \mathbb{R} \times \mathcal{C}(\overline{\Omega}) : u \text{ solves } (\mathcal{P}_\lambda)\}.$$

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- ▶ We follow the method introduced in [11], which allows to obtain more information about the **qualitative behavior of the solutions**.



[11] De Coster, C., Fernández, A. J. and Jeanjean, L. A priori bounds and multiplicity of solutions for an indefinite elliptic problem with critical growth, *J. Math. Pures Appl.* (9), 132, 308-333, 2019.

Describing Continuums of Solutions

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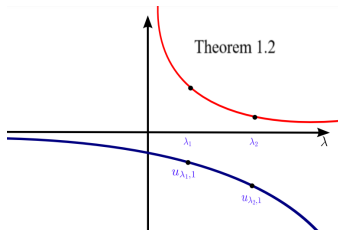
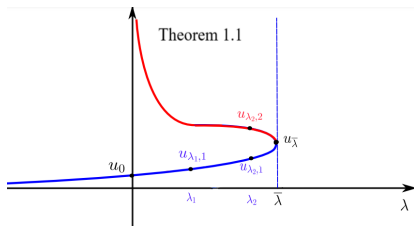
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